

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Pegg et al.

Serial No.: **10/596,787**

Filed: **June 23, 2006**

For: **Optical Communication Network and
Component Therefore**

Docket No: **5577-013**

PATENT PENDING

Examiner: Unknown

Group Art Unit:

Confirmation No.: 1462

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P.O. Box 1450
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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

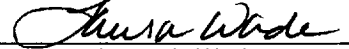
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April 3, 2007

Date



Laura A. Wade

This correspondence is being:

☒ electronically submitted via EFS-Web

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

In response to the Notification of Missing Requirements under 35 U.S.C. 371, please find enclosed the signed Declaration and Power of Attorney for Patent Application. Submitted herewith is payment in the amount of \$130 to cover the surcharge for the incomplete filing. If additional fees are required, please deduct from the Coats & Bennett, P.L.L.C. Deposit Account No. 18-1167.

Respectfully submitted,

COATS & BENNETT, P.L.L.C.


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Dated: April 3, 2007

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|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/596,787 | Steven Ian Pegg | 5577-013 |

24112
COATS & BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/EP04/53441 | |
| I.A. FILING DATE | PRIORITY DATE |
| 12/14/2004 | 12/23/2003 |

CONFIRMATION NO. 1462

371 FORMALITIES LETTER



OC000000023054769

Date Mailed: 03/23/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/23/2006
- Copy of the International Search Report filed on 06/23/2006
- Copy of IPE Report filed on 06/23/2006
- Preliminary Amendments filed on 06/23/2006
- Information Disclosure Statements filed on 06/23/2006
- Oath or Declaration filed on 06/23/2006
- U.S. Basic National Fees filed on 06/23/2006
- Priority Documents filed on 06/23/2006
- Specification filed on 06/23/2006
- Claims filed on 06/23/2006
- Abstracts filed on 06/23/2006
- Drawings filed on 06/23/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130 Surcharge.**

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
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For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/596,787 | PCT/EP04/53441 | 5577-013 |